

# Ultrathin Hybrid SiAlCOH Dielectric Thin Films by Ring Opening Molecular Layer Deposition of Cyclic Tetrasiloxane

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**ABSTRACT:** Molecular layer deposition (MLD) is a powerful vapor phase approach for growing thin polymer films with molecular level thickness control. We applied ring opening MLD process to deposit a siloxane-alumina hybrid organic-inorganic thin film using tetramethyl-tetravinylcyclotetrasiloxane (V<sub>4</sub>D<sub>4</sub>) and trimethylaluminum (TMA) as precursors. In-situ studies of this process with a quartz crystal microbalance (QCM) showed a linear mass increase with the number of MLD cycles within a processing temperature window between 120 and 200 °C. The QCM study also revealed a self-limiting surface chemistry. A growth per cycle of 1.4 and 1.6 Å and a density of 1.9 and 2.2 g/cm<sup>3</sup> were determined by X-ray reflectivity (XRR) for the V<sub>4</sub>D<sub>4</sub>/TMA film deposited at 150 and 200 °C, respectively. X-ray photoelectron spectroscopy (XPS), attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR), and in-situ QCM were employed to analyze the structural changes and composition of the film. High-resolution transmission electron microscopy (HRTEM) was used to confirm the conformality of the obtained coatings. The grown siloxane-alumina film, even as thin as 12 nm, showed an extremely low leakage current density (lower than  $5.1 \times 10^{-8}$  A cm<sup>-2</sup> at  $\pm 2.5$  MV cm<sup>-1</sup>), a dielectric constant (k) of 4.7, and a good thermal stability after one-hour annealing in air at 1100°C. The obtained highly conformal and thermally stable siloxane-alumina insulating film can be used as a component of field-effect transistors, flash memories, and capacitors in modern electronic systems.

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## INTRODUCTION

Siloxane-based polymers possess a set of physical and chemical properties which are of great importance for many future application designs. The most interesting properties include a very high failure to strain and very low elastic moduli,<sup>1</sup> extreme hydrophobicity, thermal resistance, and chemical inertness,<sup>2,3</sup> just to name a few. These exceptional properties result from the highly flexible siloxane (Si-O-Si) backbone that imparts a high degree of molecular mobility and one of the lowest glass transition temperatures found within polymers ( $T_g < -100$  °C).<sup>4-6</sup> These materials found application as insulating layers in microelectronics,<sup>7,8</sup> pore sealings,<sup>9</sup> thin film encapsulation layers,<sup>10</sup> antifouling coatings for membranes,<sup>11,12</sup> bioinert materials in biocompatible coatings<sup>13-15</sup> and barriers.<sup>16</sup> Surfaces functionalized with patterned hydrophobic siloxane thin films have been utilized as templates for an ordered deposition of thin lamellar objects.<sup>17</sup>

Organosilicon polymers can also be converted into ceramic materials known as polymer-derived ceramics or PDCs.<sup>18,19</sup> This class of materials is capable of providing mechanical stability, corrosion protection and heat dissipation at extremely high temperatures. High-temperature coatings are useful in various industries and are of utmost importance in the aerospace and automotive industries.<sup>20-23</sup>

Molecular layer deposition (MLD) is a gas-phase thin film deposition technique for organic and hybrid organic-inorganic thin films that enables precise thickness and composition control.<sup>24-26</sup> The efficiency of the method has been demonstrated

with the conformal deposition of ultrathin (<10 nm) and ultrasmooth (<1 nm RMS roughness) MLD coatings onto high aspect ratio and geometrically complex substrates.<sup>27</sup> By high-temperature pyrolysis of hybrid MLD films one can synthesize a wide spectrum of conductive metal oxide-carbon composites or graphitic thin films.<sup>28</sup>

Atomic layer deposition (ALD)/MLD of SiO<sub>2</sub>/siloxane-based materials are limited mainly by the poor reactivity of silicon precursors at low temperatures,<sup>29</sup> large reactant exposures,<sup>30</sup> corrosive by-products,<sup>31,32</sup> or the need of a catalyst.<sup>33</sup> Initial attempts of Abdulagatov, A.I. et al. to grow siloxane films using the sequential dosing of water with homobifunctional silane molecules, such as bis(dimethylamino)dimethylsilane and 1,3 dichlorotetramethyldisiloxane, or heterobifunctional silane molecules, such as dimethylmethoxychlorosilane (DMMCS) and diisopropyl-isopropoxy-silane (DIPS), revealed that the growth rate became negligible after approximately 15 MLD cycles.<sup>34,35</sup> Abdulagatov, A.I. et al. further used DMMCS and H<sub>2</sub>O together with TMA in an ABCD process defined by TMA/H<sub>2</sub>O/DMMCS/H<sub>2</sub>O and DIPS together with TMA and H<sub>2</sub>O in an ABC process with DIPS/H<sub>2</sub>O/TMA sequence. The XPS compositional analysis showed that both alumina-siloxane MLD films grown at 200 °C had a similar Si to Al ratio of 1:7. The authors explained the low atomic concentration of silicon in the films by the inefficient silane reaction with the hydroxylated surface.<sup>35</sup> Burton B.B. et al. reported that vinyltrimethoxysilane and trivinylmethoxysilane precursors are unable

to remove completely the SiOH\* surface species even at temperatures as high as 400°C.<sup>29</sup>

The ring-opening polymerization (ROP) of cyclic siloxanes is readily possible and is an example of a rare entropically driven polymerization. The vibrational and rotational freedom achievable in the linear siloxane units is much greater than in the cyclic structures. In this work, we introduce cyclic siloxanes as a new class of silicon precursors for ROP MLD, uncommon from the first point of view because of the lack of reactive groups, but reactive because of the ring nature of cyclosiloxane that enables to lower the MLD processing temperature.

We demonstrated earlier a thin film siloxane growth using a ROP reaction of vinyl cyclotrisiloxane (V<sub>3</sub>D<sub>3</sub>) and azasilane.<sup>36</sup> In the present work, MLD has been used to deposit siloxane-alumina hybrid organic-inorganic thin films using 2,4,6,8-Tetramethyl-2,4,6,8-tetravinylcyclotetrasiloxane (V<sub>4</sub>D<sub>4</sub>) and trimethylaluminum (TMA). The growth was characterized *in situ* with a quartz crystal microbalance (QCM) and the grown thin films were characterized with various spectroscopies and microscopies to identify the growth mechanism. Furthermore, we show that the MLD process enables the formation of highly conformal, ultrathin films with excellent insulating properties, stability at high-temperature annealing conditions, and the possibility to control and vary the composition of the film with the processing temperature.

## EXPERIMENTAL SECTION

**Deposition of Thin Film via MLD:** The MLD process was performed in a custom made hot-wall type reactor. Ultra-high purity nitrogen was used as a carrier gas. The deposition was performed under a constant nitrogen flow of 50 standard cubic centimeters per minute (sccm) and a reactor pressure of ~1 Torr. 2,4,6,8-Tetramethyl-2,4,6,8-tetravinylcyclotetrasiloxane (V<sub>4</sub>D<sub>4</sub>), and trimethylaluminum (TMA) were purchased from Sigma-Aldrich and had purity of 98, and 97 %, respectively. TMA was kept at room temperature during deposition and 2,4,6,8-Tetramethyl-2,4,6,8-tetravinylcyclotetrasiloxane (V<sub>4</sub>D<sub>4</sub>) was heated to 65 °C to provide sufficient vapor pressure. During the deposition, 6 and 2-second doses of V<sub>4</sub>D<sub>4</sub> and TMA were producing partial pressures of 0.30 and 0.25 Torr, respectively. The MLD experiments were carried out at reactor temperatures ranging from 120 to 200 °C, with the MLD cycle timing for the two-step process being 6/22/2/22 (in seconds), where 6 and 2s are V<sub>4</sub>D<sub>4</sub> and TMA dose times, respectively, and 22s was the purge time.

**High-Resolution Transmission Electron Microscopy (HRTEM)** characterization was carried out with an Cs-corrected microscope FEI Titan 60-300 (Thermo Fisher, USA). To study the morphology of the deposited film on zirconia nanoparticles, the microscope was operated in a monochromatic mode at an accelerating voltage of 80 kV.

Thin cross-sectional sample of the film deposited on silicon substrate has been prepared using FIB, involving the standard sample preparation protocol.<sup>37</sup> TEM characterization and Energy dispersive X-Ray spectroscopy (EDXS) (EDAX Octane, AMETEC, USA) mapping were done at an accelerating voltage of 300 kV.

In-situ quartz crystal microbalance (QCM) measurements were performed using RC-cut, 6 MHz resonant frequency, polished, gold-plated, quartz crystal sensor (Phillip Tech.). The

QCM crystal was mounted in a bakeable sensor housing (Inficon) and sealed using high-temperature epoxy (Epoxy Technology, U.S.A.). The QCM mass resolution was ~0.3 ng/cm<sup>2</sup>. The quartz crystal of the QCM was pre-coated with an ALD-grown, 60-80 Å thick Al<sub>2</sub>O<sub>3</sub> film prior to any new measurements, to generate identical conditions for all processes.

The thicknesses and densities of samples were extracted from X-ray reflectivity (XRR) measurements with a PANalytical X'Pert Pro diffractometer with Cu K $\alpha$  radiation. Single-side polished P-type silicon (100) wafers were used as substrates for the XRR measurements. The error bars obtained for MLD films growth on silicon wafers represent variations between three samples processed in the same experiment at different reactor positions.

Attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR) measurements were carried out with a PerkinElmer Frontier spectrometer. In order to increase the signal to noise ratio, pressed nanopowder of ZrO<sub>2</sub> (Sigma Aldrich, particle size <100 nm) was used as substrate for the ATR-FTIR measurements. All spectra were recorded in the range from 600 to 4000 cm<sup>-1</sup> with 20 scans at 4 cm<sup>-1</sup> resolution.

The chemical composition and bonding in the MLD films deposited onto a Si (100) substrate were examined by X-ray photoelectron spectroscopy (XPS) using a SPECS instrument, equipped with a hemispherical electron analyzer and a monochromatized source of Al K $\alpha$  x-rays. The calibration of the energy scale in all XPS spectra was done by placing the binding energy of the characteristic C 1s peak at 284.5 eV. The XPS spectra were deconvoluted into several sets of mixed Gaussian-Lorentzian functions with Shirley background subtraction.

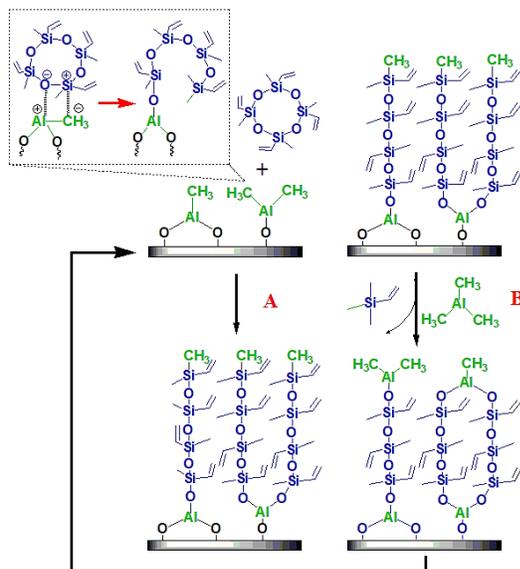
A CARBOLITE GERO laboratory high-temperature furnace was used for sample annealing. The ramp rate was 10 °C per minute.

**Metal/insulator/metal (MIM) device fabrication:** The glass substrates were cleaned by ultrasonication with detergent dissolved in deionized (DI) water, DI water, acetone, and isopropanol (IPA). Si wafers were cleaned with acetone and IPA. The cleaning procedure was performed in an ultrasonic bath for 20 min, with further drying in a stream of N<sub>2</sub>. For MIM devices, 50 nm-thick Al bottom and top electrode patterns were fabricated by UHV magnetron sputtering (AJA INT) with a base pressure of lower than 10<sup>-6</sup> Torr through shadow masks with a deposition rate of 10 nm min<sup>-1</sup>, and MLD films were deposited on top of the bottom Al electrodes before the deposition of the top Al electrodes. The width of the top and bottom electrodes was 331  $\mu$ m and 206  $\mu$ m respectively, leading to a junction area of 6.8  $\times$  10<sup>-4</sup> cm<sup>2</sup>.

**Electrical measurements:** The electrical characterization and the capacitance measurement were performed in air using a Keithley 4200-SCS semiconductor analyzer connected to a variable temperature Lakeshore probe station.

## RESULTS AND DISCUSSION

The proposed two-step MLD reaction of  $V_4D_4$  and TMA for a siloxane-alumina hybrid film growth is schematically shown in Figure 1. This process is based on sequential surface reac-



**Figure 1. Proposed deposition scheme of the hybrid siloxane-alumina coating grown by MLD with  $V_4D_4$  and trimethylaluminum (TMA) as precursors.**

tions between  $V_4D_4$  and TMA. During steady-state growth, in step (A),  $V_4D_4$  is exposed to an aluminum-methylated surface, where the formation of a four-centered intermediate will promote the  $V_4D_4$  ring opening. As a result, a silicon-oxygen-aluminum group is forming and the methyl ligand from aluminum will transfer to silicon.

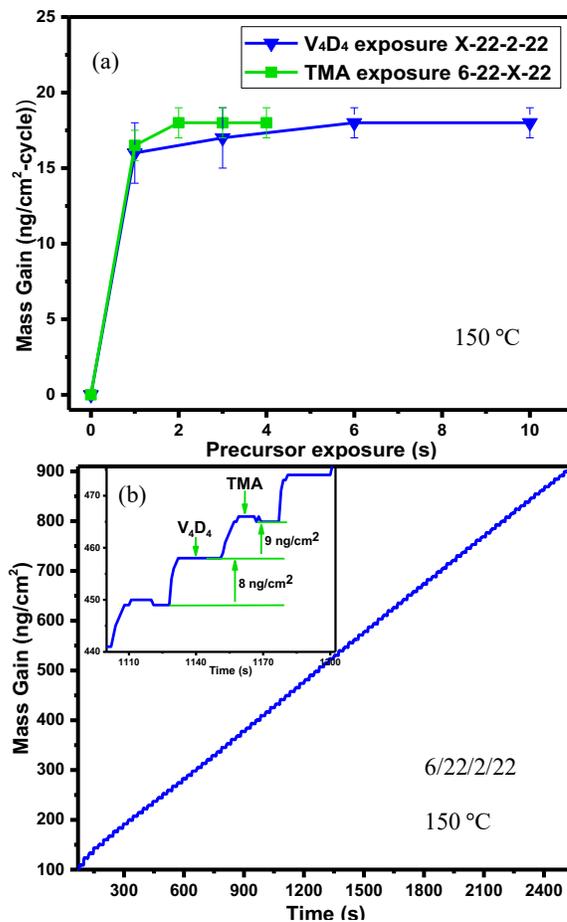
The  $V_4D_4$  ring opening polymerization (ROP) is an entropy-driven process due to the higher conformational freedom of the open polysiloxane chain in comparison to the cyclic siloxane.<sup>38</sup> The standard enthalpy of the  $V_4D_4$  polymerization is nearly zero ( $\Delta H_p^0 \approx 0 \text{ kJ mol}^{-1}$ ) and the standard polymerization entropy is  $\Delta S_p^0 = 6.7 \text{ J mol}^{-1} \text{ K}^{-1}$ .<sup>39</sup> Thus, with  $\Delta G_p < 0$ , a polymerization is possible from the thermodynamic perspective.

In addition, the growth is amplified due to the 4 Si groups in one molecule, which theoretically seen allows a quadruple growth per cycle in comparison to the single Si atom containing precursor. A further benefit is the circular shape, which increases the vapor pressure of the precursor in comparison to the linear counterpart and the entropy.

In step (B), TMA dosing will lead to an electrophilic attack of the surface siloxane oxygen by Al, regenerating the aluminum-methylated surface. Vinyltrimethylsilane will be released as a byproduct.

One of the defining features of MLD is the self-limiting growth behavior that gives rise to two main characteristics like constant growth rate and saturative behavior.<sup>40</sup> The MLD type of growth of the siloxane-alumina films using  $V_4D_4$  and TMA was examined *in situ* with QCM. The self-limiting behavior of the  $V_4D_4$  and TMA surface reactions was studied at 150°C. The QCM results, showing a mass gain per cycle (MGPC) versus  $V_4D_4$  and TMA dosing time, are shown in Figure 2 (a). The error bars obtained for each point represent the data spread from

40 data points (AB reaction cycles) for different experiments.



**Figure 2. (a) QCM mass gain per cycle vs.  $V_4D_4$  (black) or TMA (red) dosing time at 150°C; (b) QCM mass gain versus time during 50 MLD cycles at 150 °C; (b inset) expanded view of a QCM signal during two MLD cycles.**

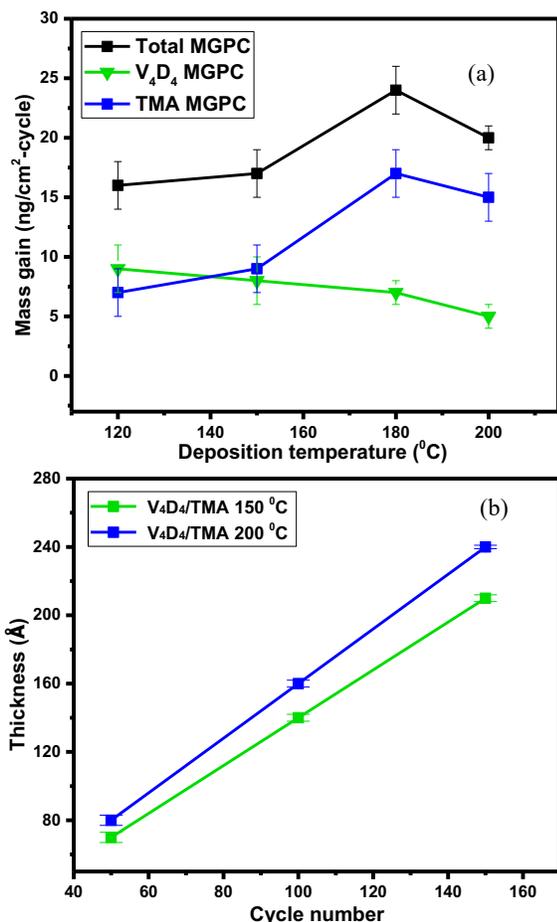
The timing sequence used for the  $V_4D_4$  saturation experiment was X/22/2/22 ( $V_4D_4$  pulse/ $N_2$  purge/TMA pulse/ $N_2$  purge) in seconds, where X stands for a variable dosing time. In this experiment, the MGPC saturated at 17  $\text{ng/cm}^2$  at 6 and 10s dosing times of  $V_4D_4$ . The timing sequence for the TMA saturation experiments was 6/22/X/22. After 2 seconds dosing of TMA, the MGPC saturated at 17  $\text{ng/cm}^2$ . Consequently, both surface reactions were found to be self-limiting. Purging times above 22 seconds did not alter the mass gain, neither after  $V_4D_4$ , nor after TMA pulses. Consequently, the timing sequence of 6/22/2/22 was used for all further experiments to fulfill a self-saturated condition for the studied MLD processes.

Figure 2(b) shows the QCM mass change vs. time during 50 cycles of  $V_4D_4$  and TMA pulsing at 150 °C with alumina as a substrate. Alumina was pre-deposited by ALD at the same temperature to have a clean starting surface prior to MLD. A linear and reproducible mass increase was observed after the initial nucleation period of ~13 cycles. An expanded view of the QCM signal during two reaction cycles in a steady-state growth regime at 150°C is presented in the inset of Figure 2(b). Each precursor dose results in a mass increase. Upon dosing  $V_4D_4$  we

observed a mass gain of 8 ng/cm<sup>2</sup>, and upon TMA dosing 9 ng/cm<sup>2</sup>, resulting in a total MGPC of 17 ng/cm<sup>2</sup>.

The temperature dependence of the MLD growth of V<sub>4</sub>D<sub>4</sub>/TMA was studied with QCM in a temperature range from 120 to 200 °C. Figure 3 (a) demonstrates the MGPC dependence on the siloxane-alumina deposition temperature. A maximum growth rate of 24 ng/cm<sup>2</sup> was observed at 180 °C. The contribution of V<sub>4</sub>D<sub>4</sub> to the total mass gain decreased with increasing temperature. A maximum V<sub>4</sub>D<sub>4</sub> mass gain of 9 ng/cm<sup>2</sup> was observed at 120 °C, which decreased to 5 ng/cm<sup>2</sup> at 200 °C. The opposite was observed for TMA. The TMA contribution to the total mass gain increased with the temperature. The mass gain during TMA dosing increased from 7 ng/cm<sup>2</sup> at 120 °C to 15 ng/cm<sup>2</sup> at 200 °C. Such a growth behavior makes the Si to Al ratio in the final film tunable simply by selecting the deposition temperature. No temperature “window” with a constant MGPC was observed.

X-ray reflectivity (XRR) measurements were conducted to



**Figure 3. (a) QCM MGPC vs. deposition temperature; (b) XRR thickness versus MLD cycle number for the siloxane-alumina growth on Si (100) at 150 and 200 °C.**

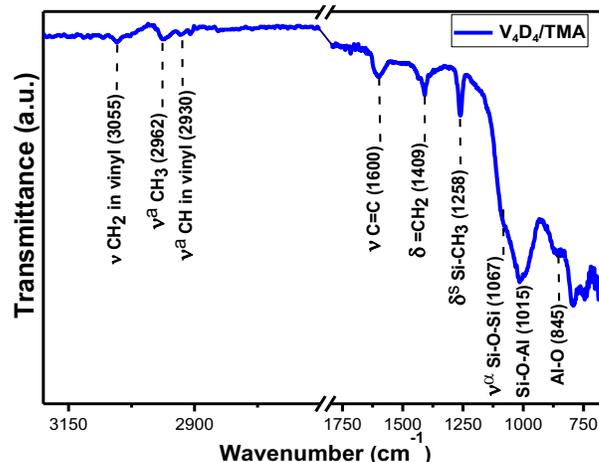
determine the growth rate, density and surface roughness, and the linearity of the thickness evolution of the film with the number of MLD cycles. The films were deposited on Si(100) wafers with a native oxide at 150 and 200 °C. The resulting thicknesses versus numbers of MLD cycles are presented in Figure 3(b).

From the slope of the graph, a constant growth of 1.4 Å/cycle for the film deposited at 150 °C was deduced. The films had a density of 1.9 g/cm<sup>3</sup> and an RMS roughness of 5.7 Å. The films grown at 200 °C showed a growth of ~1.6 Å/cycle. They had a density of 2.2 g/cm<sup>3</sup> and an RMS roughness of 5.5 Å. The faster growth at 200 °C than at 150 °C is consistent with the QCM data. For comparison, ceramic ALD-deposited Al<sub>2</sub>O<sub>3</sub> at similar process temperatures has densities around 3.0 g/cm<sup>3</sup> and polydimethylsiloxane (PDMS) has 1.1 g/cm<sup>3</sup>.<sup>41,42</sup> Our hybrid film approaches the densities of ceramic films, if deposited at higher temperatures, which is in agreement with the above-mentioned higher contribution of TMA to the hybrid at higher temperatures.

ATR-FTIR was performed to examine the bonding environment within the MLD film. The film was deposited onto pressed pills of ZrO<sub>2</sub> nanoparticles (NPs) to increase the surface area and thereby increase the signal to noise ratio. A spectrum of pure ZrO<sub>2</sub> NPs was recorded initially and used as a background spectrum for the sample spectra. Figure 4 shows the ATR-FTIR spectrum of a 40 nm thick MLD film, deposited at 200 °C, with vibrational features characteristic of organosilicon polymers. The bands between 2900 and 3100 cm<sup>-1</sup> represent methyl (CH<sub>3</sub>) and methylene (CH<sub>2</sub>) stretching vibrations originating from saturated and unsaturated carbon in the film.

Table 1S (in the ESI) summarizes the wavenumbers of the peaks in Figure 4 and their assignment to the corresponding vibration modes. The strong Si-O-Al peak at 1015 cm<sup>-1</sup> is consistent with a successful ring-opening reaction of V<sub>4</sub>D<sub>4</sub> on the Al-Me surficial species. The shoulder around 1067 cm<sup>-1</sup> indicates the absorption of the Si-O-Si chain. The lack of the characteristic absorption of cyclosiloxanes at 1000 cm<sup>-1</sup> confirms the quantitative ring-opening within the detection limit.<sup>13</sup> The peak at 845 cm<sup>-1</sup> is attributed to Al-O moieties in the film. A closer look at the region between 1240 and 1300 cm<sup>-1</sup> gives some more mechanistic insight.

Namely, only one peak that indicates the degree of oxidation



**Figure 4. ATR-FTIR spectra of a 40 nm thick siloxane-alumina MLD film, deposited at 200 °C using a two-step V<sub>4</sub>D<sub>4</sub>/TMA process on pressed ZrO<sub>2</sub> particles.**

of the silicon atoms in the film is visible.<sup>43</sup> The band at 1258 cm<sup>-1</sup> is attributed to di-substituted silicon, which agrees with the proposed reaction mechanism, where the silicon in V<sub>4</sub>D<sub>4</sub> contains a chain-building SiO<sub>2</sub>MeVi unit. The spectrum also

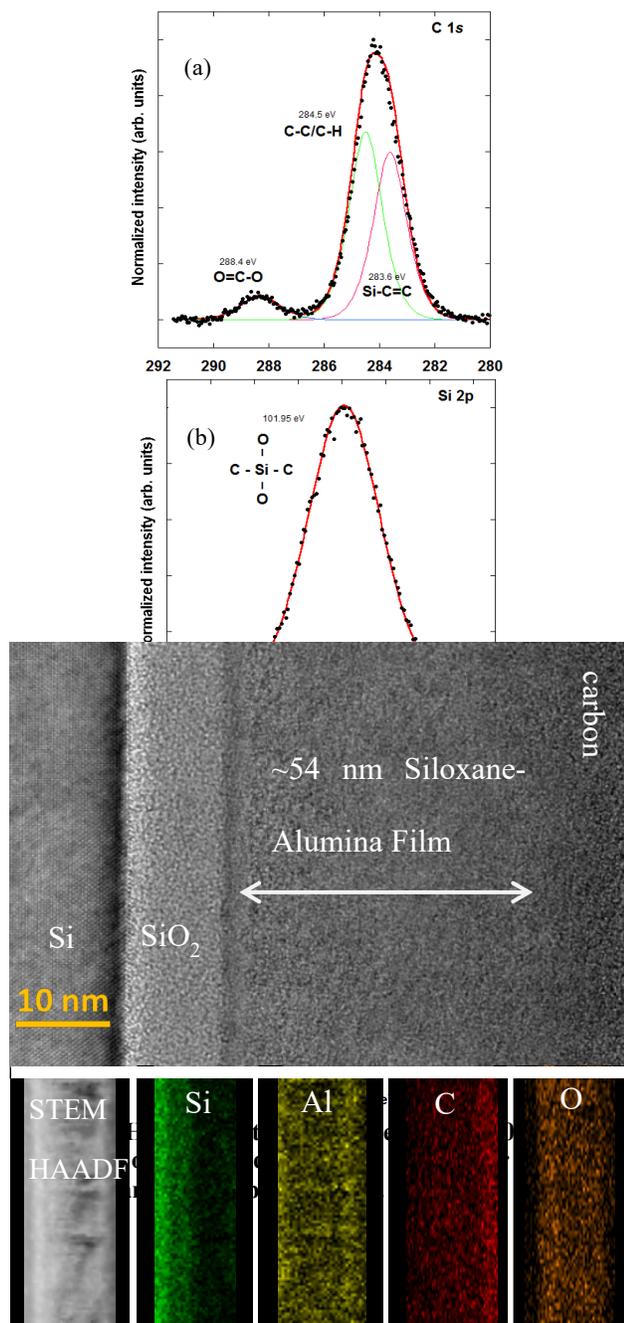
demonstrates features related to the vinyl groups on silicon, such as the  $=\text{CH}_2$  bending at  $1409\text{ cm}^{-1}$ , the  $\text{C}=\text{C}$  stretching at  $1600\text{ cm}^{-1}$ , the asymmetric  $=\text{CH}_2$  stretching at  $3055\text{ cm}^{-1}$ , and the  $=\text{CH}$  stretching at  $2930\text{ cm}^{-1}$ . All the observed bands in the spectrum were expected from the proposed deposition scheme, thereby largely confirming the reaction mechanism.

High-Resolution Transmission Electron Microscopy (HRTEM) and Energy dispersive X-Ray spectroscopy (EDXS) were used to examine the morphology and composition of the MLD films grown at  $200\text{ }^\circ\text{C}$  on a silicon substrate. Focused ion beam (FIB) technique has been involved to fabricate thin cross-

sectional sample for TEM measurements. Figure 5 shows a HRTEM image as well as the EDXS elemental mapping of a  $54\text{ nm}$  thick MLD film, deposited onto a silicon substrate with a  $10\text{ nm}$  thick thermal silicon oxide layer. As expected, the siloxane-alumina film was amorphous. The growth of  $1.6\text{ \AA/cycle}$ , determined from the HRTEM micrograph, is in good agreement with the growth determined by XRR. The film composition and structure were further studied by elemental mapping with EDXS in STEM mode, which confirmed the presence of Si, Al, C and O in the film.

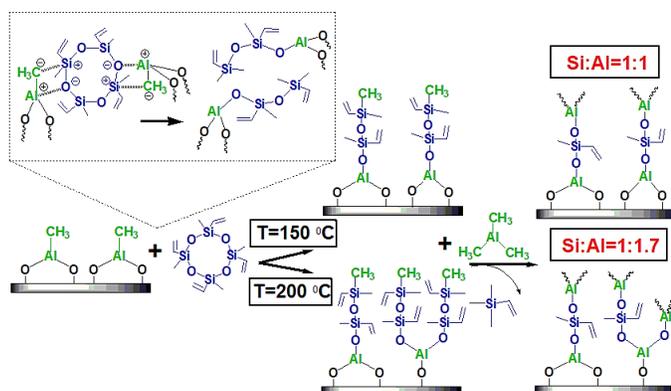
Figure 6 shows a series of XPS spectra around the C 1s, Si 2p, and Al 2p core-level regions of the  $210\text{ \AA}$  thick film deposited at  $200\text{ }^\circ\text{C}$ . The spectra were deconvoluted into sets of mixed Gaussian-Lorentzian functions with a Shirley background subtraction.<sup>44</sup> The C 1s spectrum in Figure 6(a) reveals several components originating from differently bound carbon within the siloxane-alumina MLD film. The C 1s spectrum was deconvoluted into three components, originating from Si-C=C, C-C/C-H, and O=C-O (surface contamination) bonds at binding energies (BEs) of  $283.6$ ,  $284.5$ , and  $288.4\text{ eV}$ , respectively. The Si 2p spectral region in Figure 6(b) is characterized by a single peak at  $101.9\text{ eV}$ , assigned to the di-oxygen substituted ( $\text{SiO}_2\text{C}_2$ ) bonding state of Si within the film.<sup>45</sup> The spectrum around Al 2p in Figure 6(c) is also fitted by one dominant peak at  $74.5\text{ eV}$ . From reference data, typical binding energies for alumina Al 2p and silica Si 2p are  $73.7$ <sup>46</sup> and  $103.7\text{ eV}$ ,<sup>47</sup> respectively. An increase of the BE of Al 2p from  $73.7\text{ eV}$  to  $74.5\text{ eV}$ , with a simultaneous decrease of the Si 2p BE from  $103.7\text{ eV}$  to  $101.9\text{ eV}$ , indicates the formation of Al-O-Si bonds. Since Al is more electropositive than Si,<sup>48</sup> a presence of Al-O units in a silica network will decrease the BE of Si 2p and increase that of Al 2p.<sup>49</sup> In fact, the measured BEs are very close to the BEs of aluminosilicates,<sup>50</sup> further confirming the formation of Al-O-Si bonds.

The overall composition and bonding environment of the components in the film were investigated by X-ray Photoelectron Spectroscopy (XPS). From the XPS survey scans of the films grown at  $150\text{ }^\circ\text{C}$ , the elemental composition ratio of  $13:12:37:38$  (in at. %) was determined for Si:Al:O:C. The ratio for films grown at  $200\text{ }^\circ\text{C}$  was  $11:19:38:32$ . With a Si to Al ratio of around 1:1 for the films grown at  $150\text{ }^\circ\text{C}$ , and a ratio of around 1:1.7 for those grown at  $200\text{ }^\circ\text{C}$ , both films had a considerably lower Si to Al ratio than the value of 4.5:1 as expected from the proposed reaction scheme. The ratios are consistent with the *in situ* QCM observations, where the  $\text{V}_4\text{D}_4$  to TMA mass gain ratio was decreasing from 150 to  $200\text{ }^\circ\text{C}$ . The ratio of Si to C was 1:3 for all deposition temperatures, correlating well with the proposed deposition scheme. This means that TMA cleaves the  $\text{V}_4\text{D}_4$  molecule into  $-\text{OSiMe}_2\text{Vi}-$  units, maintaining the original Si:C ratio of 1:3.



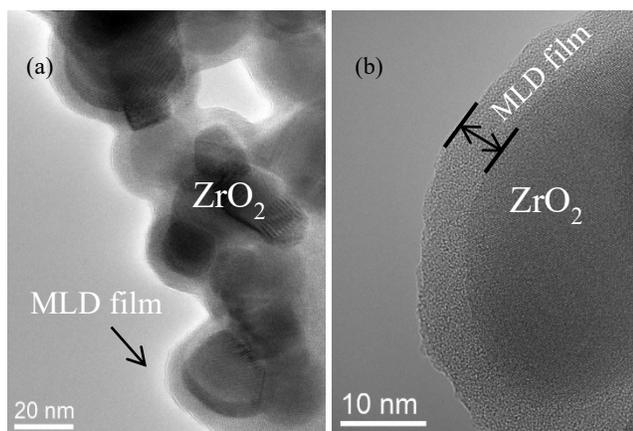
**Figure 5.** HRTEM imaging and EDXS elemental mapping data of a  $54\text{ nm}$  thick siloxane-alumina film deposited on Si (100) with thermal  $\text{SiO}_2$  at  $200\text{ }^\circ\text{C}$ .

Based on the compositional analysis, the growth mechanism can be refined. Figure 7 schematically shows a plausible growth



**Figure 7. Mechanism of siloxane-alumina MLD using  $V_4D_4$  and trimethylaluminum (TMA) at 150 and 200 °C.**

mechanism with  $V_4D_4$  and TMA at 150 and 200 °C. The differing Si:Al ratios at the two processing temperatures suggest that one  $V_4D_4$  molecule reacts with more than one Al-CH<sub>3</sub> surface



**Figure 8. (a) TEM image of  $ZrO_2$  NPs coated with 50 Å thick MLD film deposited using  $V_4D_4$ /TMA MLD at 200 °C; (b) Zoom in image of the same sample.**

site, potentially splitting  $V_4D_4$  into more parts, as shown in the inset of Figure 7. This degradation reaction of  $V_4D_4$  becomes more pronounced with increasing temperature, resulting in an aluminum oxide-like film growth. This could be due to an increase in the number of substitution reactions at 200 °C, as shown in Figure 7.

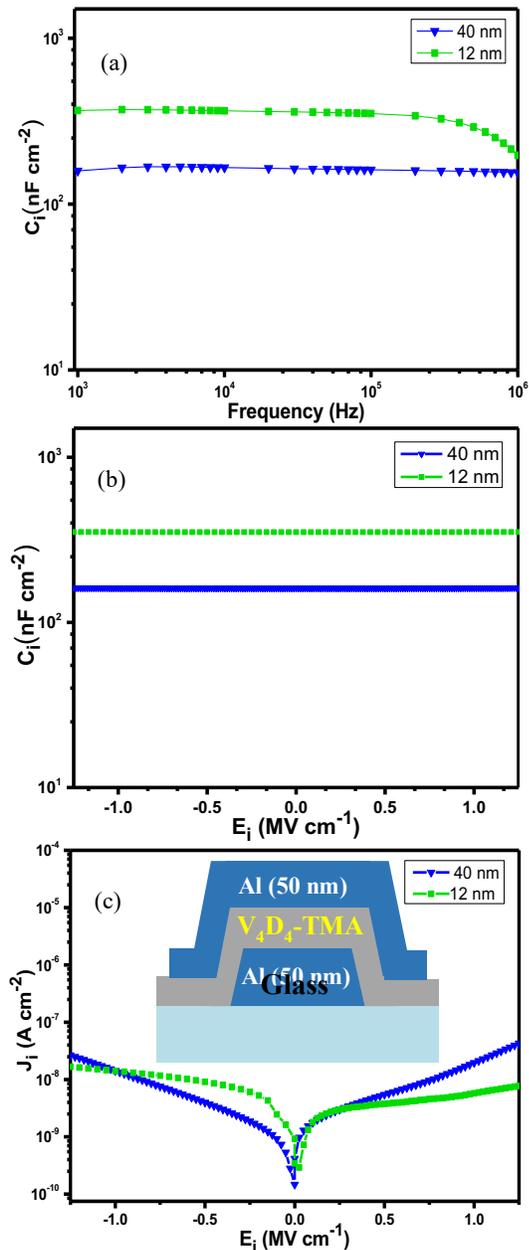
In principle, as alternative to the reaction of  $V_4D_4$  and TMA, precursors such as diethoxy(methyl)vinylsilane with TMA and similar could be used for a siloxane-alumina deposition. Given the various reported processes mentioned in the introductory part, we foresee an MLD reaction mechanism for diethoxy(methyl)vinylsilane and TMA as depicted in Figure 1S (in the ESI). A siloxane-alumina MLD process with the above-mentioned precursors would either result in growth termination or in alumina-like films with a highest possible theoretical Si to Al ratio of 1:2 (page 2, ESI), in agreement with earlier studies that

showed the experimental Si to Al ratio is much lower than the theoretical prediction.<sup>35</sup> Especially the expected partial termination of the film growth upon use of diethoxy(methyl)vinylsilane in comparison to the here observed linear growth of the film makes the use of cyclic siloxanes very valuable and versatile with regard to the final film composition.

High-resolution TEM imaging was used to examine the conformality of the siloxane-alumina thin film. Figure 8 (a) shows a TEM image of  $ZrO_2$  NPs coated with a 50 Å thick MLD film obtained from the  $V_4D_4$ /TMA process at 200 °C.  $ZrO_2$  NPs were chosen for their high surface area and good contrast versus deposited film. The micrograph in Figure 8 (a) shows conformally coated NPs, confirming a successful MLD process. HRTEM images at higher magnification show the amorphous structure of the MLD film, Figure 8 (b).

Figure 2S (in the ESI) shows the thickness changes of the siloxane-alumina films after one-hour annealing in air at various annealing temperatures. Annealing of the 150 °C-deposited  $V_4D_4$ /TMA film at 1100 °C resulted in a 47% decrease in thickness. The same thermal treatment of the 200 °C-deposited  $V_4D_4$ /TMA film showed a 28% thickness decrease. The higher decrease observed for the film deposited at 150 °C is attributed to its higher carbon content, which is consistent with the XPS observations.

To investigate the dielectric properties of the siloxane-alumina MLD films, the 12 and 40 nm thick  $V_4D_4$ -TMA films were tested in a metal/insulator/metal (MIM) device structure (Figure 9 c inset). The capacitance per unit area ( $C_i$ ) vs. frequency ( $f$ )



**Figure 9. Electrical characteristics of the MLD films: (a)  $C_i$  versus frequency; (b)  $C_i$  versus  $E_i$ ; (c)  $J_i$  - $E_i$  characteristics of the 40 and 12 nm thick MLD films; (c inset) structure of the Al/ $V_4D_4$ -TMA/Al MIM device used for the characterization; The  $C_i$  versus  $E_i$  was measured at 1 kHz with an AC voltage of 10 mV RMS.**

and applied electric field ( $E_i$ ) are shown in Figure 9 (a) and (b), respectively. The siloxane-alumina MLD film resulted in high  $C_i$ -s of 150 and 350 nF  $cm^{-2}$  for the 40 and 12 nm thick films, respectively, which were stable over a wide range of operating frequencies, that is,  $10^3$ - $10^6$  Hz. The  $C_i$  of the 12 nm thick MLD film showed a slight decrease at frequencies above 600 kHz.

This is attributed to the greater polarization effects at the interface between the dielectric and the electrode in the MIM device with thinning down of the films.<sup>8,51</sup> The  $C_i$ - $E_i$  graph shows that the  $C_i$  values for the  $V_4D_4$ -TMA films were constant in the range of  $\pm 1.25$  MV  $cm^{-1}$ , demonstrating the electrical stability of the MLD dielectrics.

From the  $C_i$  data, the dielectric constant ( $k$ ) of the 12 nm thick film was estimated to be 4.7.

The leakage current density curves ( $J_i$  vs.  $E_i$ ) of the 12 and 40 nm thick  $V_4D_4$ -TMA films overlapped well (Fig. 9 c), exhibiting excellent insulating behavior of both MLD films, with  $J_i$  values lower than  $5.4 \times 10^{-8}$  A  $cm^{-2}$  at  $-1.25$  MV  $cm^{-1}$  and  $7.6 \times 10^{-9}$  A  $cm^{-2}$  at  $+1.25$  MV  $cm^{-1}$ .

The  $C_i$ - $E_i$  and  $J_i$ - $E_i$  was measured for the 12 nm  $V_4D_4$ -TMA film in a wider  $E_i$  range of  $\pm 2.5$  MV  $cm^{-1}$ . (ESI Figure 3S) The  $C_i$  value for the 12 nm film was constant in the range of  $\pm 2.5$  MV  $cm^{-1}$  and the leakage current density was lower than  $5.1 \times 10^{-8}$  A  $cm^{-2}$  at  $\pm 2.5$  MV  $cm^{-1}$ .

## CONCLUSIONS

In this study, hybrid organic-inorganic siloxane-alumina films were grown by MLD using sequential surface reactions between 1,3,5,7-tetravinyl-1,3,5,7-tetramethylcyclotetrasiloxane ( $V_4D_4$ ) and trimethylaluminum (TMA) at temperatures between 120 and 200 °C. *In-situ* QCM analysis showed a linear mass increase with the number of MLD cycles and revealed a self-limiting surface chemistry between TMA and  $V_4D_4$ . Infrared spectra of the deposited films showed the strong peak of Si-O-Al at 1015  $cm^{-1}$ , with the shoulder around 1067  $cm^{-1}$ , attributed to the Si-O-Si bonding. Formation of Si-O-Al was also confirmed by the XPS analysis, that means that the reaction of  $V_4D_4$  with TMA proceeds through the Si-O-Al bond formation, as we proposed. The FTIR spectra of the film showed a strong peak at 1258  $cm^{-1}$ , suggesting di-oxygen substituted Si atoms. The same degree of oxidation of the silicon atoms in the film was revealed by the XPS. The Si 2p spectral region showed a single peak at 101.9 eV, assigned to the di-oxygen substituted ( $SiO_2C_2$ ) bonding state of Si within the film, which agrees with the retention of a chain-building  $SiO_2MeVi$  unit in  $V_4D_4$ . The XPS survey scan showed the ratio of Si to C being 1:3 for all deposition temperatures, which is also consistent with the Si:C ratio in a chain-building  $SiO_2MeVi$  unit in  $V_4D_4$ . Based on the FTIR and XPS compositional analysis, a realistic  $V_4D_4$ /TMA reaction mechanism was suggested, which differs for higher and lower processing temperatures. Consequently, the composition of the films can be tuned with the choice of the deposition temperature. With the  $V_4D_4$ -TMA MLD, we attribute the lower than expected Si concentration to a very high reactivity of TMA molecule, that opens  $V_4D_4$  ring in more than one region, cleaving it into  $-O_2SiMeVi$  units that further react with Al-Me species forming  $=Al-O-SiMeVi-O-$  like structures within the film. TEM showed a highly conformal coating of zirconia nanoparticles by the developed MLD process. The pinhole-free nature and conformal growth inherent to the MLD technique allow the formation of high-quality siloxane-alumina thin films with excellent insulating properties and thermal stability that may have a potential application as ultrathin insulating coatings in modern electronic devices.

## ASSOCIATED CONTENT

**Supporting Information.** Table 1S summarizes the wavenumbers of the peaks in ATR-FTIR spectra (Figure 4) and their assignment to the corresponding vibration modes; proposed MLD scheme with Diethoxy(methyl)vinylsilane and trimethylaluminum (TMA) as precursors; the thickness changes of the siloxane-alumina films after one-hour annealing in air at various annealing temperatures; the  $C_i$ - $E_i$  and  $J_i$ - $E_i$  graphs measured for the 12 nm  $V_4D_4$ -TMA film in a  $E_i$  range of  $\pm 2.5$  MV  $cm^{-1}$ . (PDF)

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