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# Resolving the electromagnetic mechanism of surface-enhanced light scattering at single hot spots

P. Alonso-González<sup>1,\*</sup>, P. Albella<sup>1,2,\*</sup>, M. Schnell<sup>1</sup>, J. Chen<sup>1,2</sup>, F. Huth<sup>1,3</sup>, A. García-Etxarri<sup>2,4,5</sup>, F. Casanova<sup>1,5</sup>, F. Golmar<sup>1,6</sup>, L. Arzubiaga<sup>1</sup>, L.E. Hueso<sup>1,5</sup>, J. Aizpurua<sup>2</sup> & R. Hillenbrand<sup>1,5</sup>

Light scattering at nanoparticles and molecules can be dramatically enhanced in the ‘hot spots’ of optical antennas, where the incident light is highly concentrated. Although this effect is widely applied in surface-enhanced optical sensing, spectroscopy and microscopy, the underlying electromagnetic mechanism of the signal enhancement is challenging to trace experimentally. Here we study elastically scattered light from an individual object located in the well-defined hot spot of single antennas, as a new approach to resolve the role of the antenna in the scattering process. We provide experimental evidence that the intensity elastically scattered off the object scales with the fourth power of the local field enhancement provided by the antenna, and that the underlying electromagnetic mechanism is identical to the one commonly accepted in surface-enhanced Raman scattering. We also measure the phase shift of the scattered light, which provides a novel and unambiguous fingerprint of surface-enhanced light scattering.

<sup>1</sup> CIC nanoGUNE Consolider, 20018 Donostia-San Sebastián, Spain. <sup>2</sup> Centro de Física de Materiales (CSIC-UPV/EHU) and Donostia International Physics Center (DIPC), 20018 Donostia, San Sebastián, Spain. <sup>3</sup> Neaspec GmbH, 82152 Martinsried, Munich, Germany. <sup>4</sup> Department of Materials Science and Engineering, Stanford University, Stanford, California 94305, USA. <sup>5</sup> IKERBASQUE, Basque Foundation for Science, 48011 Bilbao, Spain. <sup>6</sup> I.N.T.I.-CONICET, Av. Gral. Paz 5445, Ed. 42, B1650JKA, San Martín, Bs As, Argentina. \*These authors contributed equally to this work. Correspondence and requests for materials should be addressed to R.H. (email: r.hillenbrand@nanogune.eu).

**M**etal nanostructures can convert incident light into nano-scale confined and strongly enhanced optical fields ('hot spots'), thus acting as the optical analogue of an antenna. When molecules or nanoparticles (objects) are placed at a hot spot, the Raman scattering<sup>1–10</sup>, fluorescence<sup>11,12</sup> or infrared absorption<sup>13,14</sup>, can be dramatically enhanced. A variety of surface-enhanced Raman scattering (SERS) experiments<sup>15–19</sup> indicate that enormous signal enhancement factors of more than  $10^{10}$  are possible, because the Raman intensity  $I$  scales with the fourth power of the local field enhancement  $f$  at the metal surface<sup>3,16,20–22</sup>, allowing even for single-molecule spectroscopy<sup>4,5,7,23,24</sup>. The direct and quantitative verification of this power law and its underlying electromagnetic scattering mechanism, however, is more than a challenge to tackle. The difficulties arise from the complex processes typically involved in surface-enhanced spectroscopies, where chemical bonding and charge transfer between object and metal nanostructures can occur<sup>12,25–28</sup>. In addition, many experiments rely on measurements of samples exhibiting heterogeneously distributed hot spots of random field enhancement, which forces a statistical evaluation of the enhancement<sup>23</sup>.

Here we study the light elastically scattered from an individual object located in the well-defined hot spot of single antennas as a new approach to resolve the role of the antenna in the scattering process. We provide experimental evidence that the scattering off the object is enhanced by the presence of the antenna, and that the underlying electromagnetic mechanism is analogous to that in SERS. Furthermore, the analysis of elastic scattering offers the possibility to access the phase shift induced by the antenna, providing a novel fingerprint of this scattering mechanism.

## Results

**Electromagnetic mechanism of surface-enhanced scattering.** In Figure 1a we illustrate the commonly accepted electromagnetic scattering mechanism in SERS<sup>3,21,28</sup>. An object (O) is located in close proximity to a metal nanostructure. Acting as an antenna (A), the metal nanostructure enhances the incoming field  $E_{\text{inc}}$  of frequency  $\omega_1$  by a factor  $f_1$ , generating a local field  $E_{\text{loc}}$  (hot spot) in its proximity:

$$E_{\text{loc}} = f_1 E_{\text{inc}} \quad (1)$$

The metal nanostructure also enhances the scattering off the object at the Raman-shifted frequency  $\omega_2$  by an enhancement factor  $f_2$ . The field  $E_{\text{AOA}}$  scattered off the object via the antenna is thus given by

$$E_{\text{AOA}} \propto f_2 E_{\text{loc}} = f_1 f_2 E_{\text{inc}} \quad (2)$$

The index AOA indicates the scattering path: the incoming light first polarizes the antenna A, the local field of the antenna polarizes the object O, and finally the local field of the object acts back on the antenna A, which radiates as a result of this interaction. We can approximate  $f_1 \approx f_2 \approx f$  when the difference between  $\omega_1$  and  $\omega_2$  is small and when illumination and detection direction are the same, the latter being a consequence of the reciprocity theorem. In this case, the antenna-enhanced field scattered off the object,  $E_{\text{AOA}}$ , scales with the square of the local field enhancement<sup>29</sup>,

$$E_{\text{AOA}} \propto f^2 \quad (3)$$

We note that equation (3) is valid particularly in the case of surface-enhanced elastic scattering, where  $\omega_1$  is exactly equal to  $\omega_2$ . A detailed description of the elastically scattered field  $E_{\text{AOA}}$  is provided in the Methods section.

It is important to note that for a full analysis of the scattering process we have to consider the complex nature of the field enhancement  $f$ :

$$f = |f| e^{i\Delta\phi_f} \quad (4)$$

Here  $|f|$  is the magnitude of the field enhancement and  $\Delta\phi_f$  the phase shift induced by the antenna relative to the incident field. From equations (3) and (4) it is immediately seen that the scattered intensity

$I_{\text{AOA}} \propto |E_{\text{AOA}}|^2$  (typically measured in an experiment) scales with the fourth power of the magnitude of the local field enhancement,

$$I_{\text{AOA}} \propto |f|^4 \quad (5)$$

We also find that there is a phase shift  $\Delta\phi_{\text{AOA}}$  between the scattered field  $E_{\text{AOA}} = |E_{\text{AOA}}| e^{i\Delta\phi_{\text{AOA}}}$  and the incident field  $E_{\text{inc}}$ , which is given by

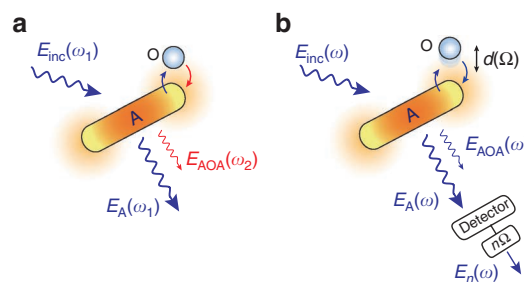
$$\Delta\phi_{\text{AOA}} = 2\Delta\phi_f \quad (6)$$

The factor of 2 in equation (6) provides a distinct signature of a surface-enhanced or an antenna-enhanced scattering process. It more clearly reveals the role of the antenna, which is mediating both the illumination of the object as well as the scattering off the object. It is important to note that in SERS we also have to consider chemical enhancement effects and an additional phase shift caused by the Raman process. These effects are not included in equations (1–6) to highlight the electromagnetic mechanism of the enhancement.

**Measurement principle and experimental set-up.** To access both the magnitude and the phase shift of  $f$ , we propose an experimental scheme as illustrated in Figure 1b. We measure the field  $E_{\text{AOA}}$ , which is elastically backscattered (that is, illumination and detection directions are the same) from an individual object located in the well-defined hot spot of single antennas. Analysing the elastically scattered light ensures that the field enhancements  $f_1$  and  $f_2$  are exactly equal ( $f_1 = f_2 = f$ ), and allows for interferometric measurement of the phase. Furthermore, the object should not be chemically bound to the antenna to exclude chemical effects. In a general detection procedure at frequency  $\omega$ , however, it is not possible to distinguish between the contributions  $E_A(\omega)$  and  $E_{\text{AOA}}(\omega)$  to the total field  $E_T = E_A + E_{\text{AOA}}$ , where  $E_A$  is the field directly scattered by the antenna. To extract  $E_{\text{AOA}}(\omega)$  from the total field  $E_T$ , we modulate sinusoidally the distance  $d$  between the antenna and the object at a frequency  $\Omega$ , according to  $d = d_0 + \Delta d \cos(\Omega t)$ . Here  $\Delta d$  is the modulation amplitude and  $d_0$  the average antenna-object separation. Such a modulation will not affect the direct scattering contribution  $E_A(\omega)$ , as it does not depend on the separation distance. However, it will modulate the contribution  $E_{\text{AOA}}(\omega)$ , owing to the nonlinear behaviour of the field enhancement with  $d$ ,  $f = f(d)$ . Thus, by demodulating the field  $E_T$  at the frequency  $n\Omega$  with  $n > 1$ , we obtain the signal  $E_n$ , which is proportional to the field  $E_{\text{AOA}}$  scattered off the object (for details see the Methods section):

$$E_n \propto E_{\text{AOA}} \quad (7)$$

To demonstrate that  $E_n$  (and therefore the scattered field  $E_{\text{AOA}}$ ) is proportional to  $f^2$ , it is necessary to measure  $E_n$  as a function of  $f$ . To this end, we measure in the following the signal  $E_n$  at the hot spot of



**Figure 1 | Schematics of a surface-enhanced light scattering process.**

(a) Inelastic scattering process ( $\omega_1 \neq \omega_2$ ) from an object (O) in the presence of a metal nanostructure that acts as an optical antenna (A). (b) Elastic scattering process ( $\omega_1 = \omega_2 = \omega$ ).  $E_{\text{inc}}(\omega)$  denotes the incident field,  $E_A(\omega)$  is the field directly radiated by the antenna, and  $E_{\text{AOA}}(\omega)$  is the field radiated by the object via the antenna. To select  $E_{\text{AOA}}$ , the antenna-object distance  $d$  is modulated at frequency  $\Omega$ , and the detector signal is demodulated at the higher harmonic frequency  $n\Omega$ .

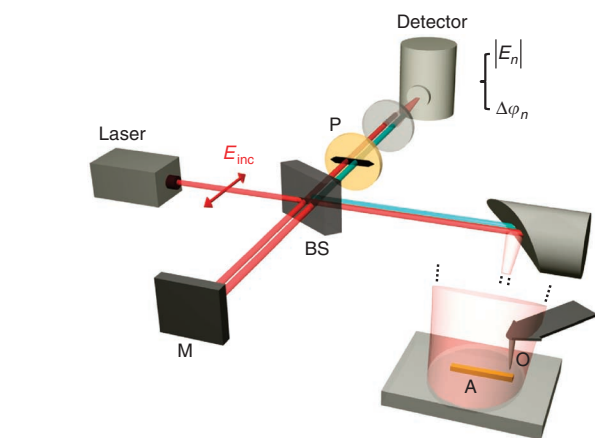
different well-defined antennas and relate it to the calculated local field enhancement  $f$ .

We note that equation (3) (and subsequently equation (7)) is valid for strong field enhancements  $f \gg 1$  and does not account for the vectorial nature of the fields<sup>30</sup>. However, a more rigorous and general tensorial formulation (see derivation of  $E_{\text{AOA}}$  in the Methods section) proves that equation (3) indeed applies for scattering configura-

tions where the object of interest is located at individual hot spots of optical antennas, as is the case in the following experiments.

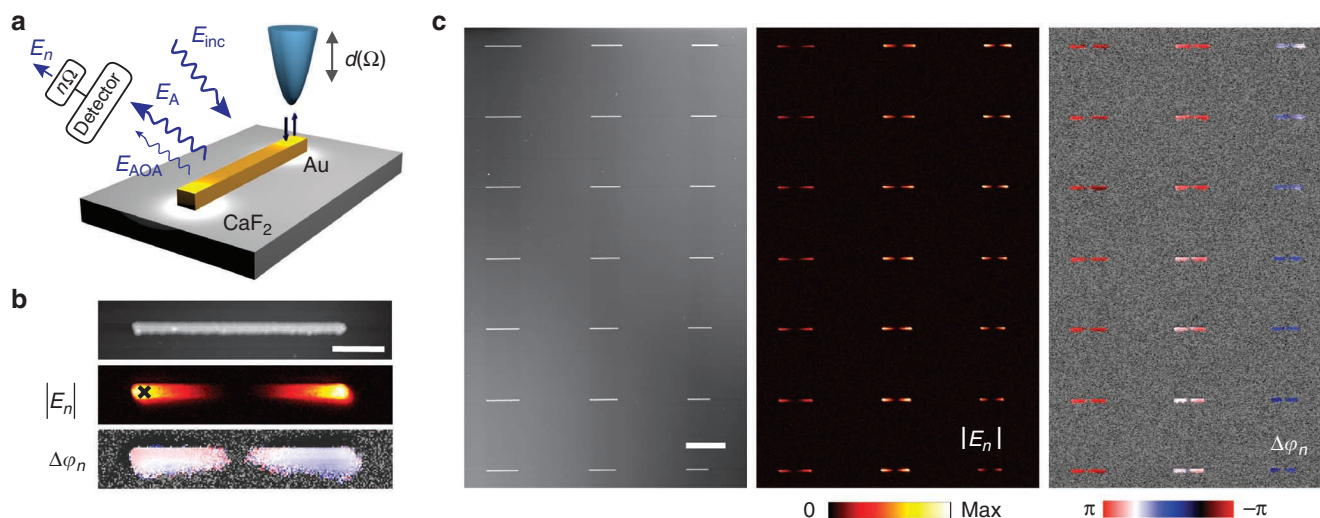
We experimentally realize the scheme proposed in Figure 1b with the use of a scattering-type scanning near-field optical microscope (s-SNOM, Fig. 2)<sup>31,32</sup>. As schematically illustrated in Figure 3a, the apex of the vertically oscillating Si tip mimics the object (O), while the metal rod acts as an antenna (A) that converts the incident infrared radiation of a wavelength  $\lambda = 11.1 \mu\text{m}$  (with polarization parallel to the rod) into strongly concentrated fields at the rod extremities (hot spots)<sup>31</sup>. The horizontally polarized light backscattered from the tip-antenna configuration is detected with a pseudo-heterodyne Michelson interferometer<sup>33</sup> (Fig. 2). Demodulation of the detector signal at  $n\Omega$  yields the amplitude  $|E_n|$  and phase shift  $\Delta\varphi_n$ . To identify the hot spots, we scan the tip across the antenna and record the sample height, as well as amplitude  $|E_n|$  and phase  $\Delta\varphi_n$  as a function of the tip position (Fig. 3b). In the amplitude map we observe the strongest signals  $|E_n|$  at the rod extremities, which experimentally proves the existence of two hot spots on the metal rod. The phase map shows that the signal  $\Delta\varphi_n$  is nearly constant across the antenna.

Analogous to classical radio wave antennas, the resonance and thus highest field enhancement for Au rods is expected at a length  $L_{\text{res}} = \lambda_{\text{eff}}/2$ , where  $\lambda_{\text{eff}}$  is the effective wavelength<sup>34,35</sup>. By changing the antenna length  $L$  at a fixed wavelength, we can thus precisely control the field enhancement  $f$  at the rod extremities, which is the key to establish a quantitative dependence between the local field enhancement  $f$  and the scattered field  $E_n$ . We thus study in the following an antenna array where the antenna length decreases from the top to the bottom and from the left to the right (for antenna fabrication details see Methods section). In Figure 3c we show topography, amplitude  $|E_n|$  and phase  $\Delta\varphi_n$  maps of this antenna array, while in Figure 4a we show zoom-in images of selected antennas. We observe that with increasing antenna lengths  $L$ , the amplitude signal increases until it reaches a maximum value at a rod length of about  $L = 3.7 \mu\text{m}$ , consistent with the theoretical antenna resonance. With further increasing length, the amplitude decreases. This observation clearly reveals the resonance behaviour of the antennas<sup>36</sup>. The simultaneously acquired phase maps reveal a continuously increasing phase with increasing rod length, providing further evidence that we trace a resonance<sup>37</sup>.

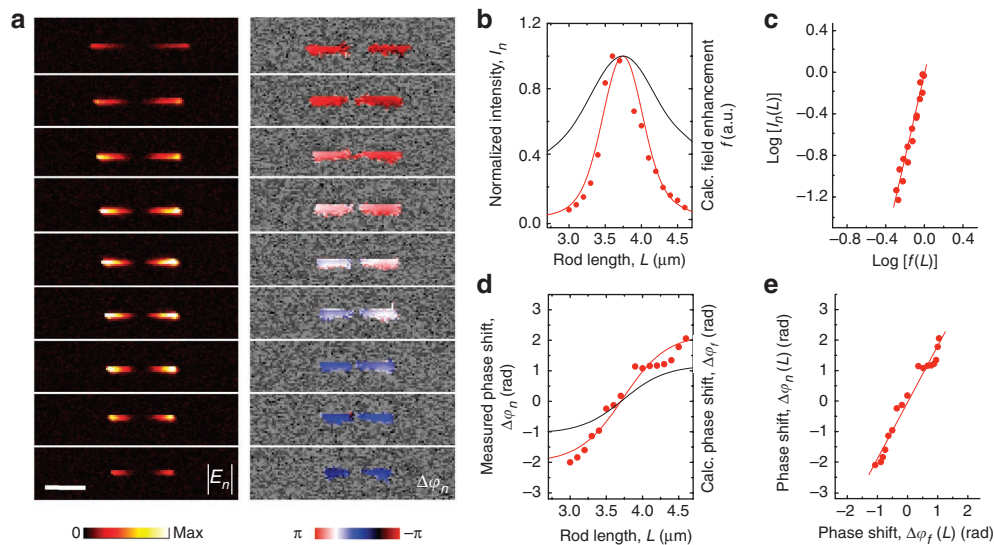


**Figure 2 | Set-up for verifying surface-enhanced elastic light scattering.**

The set-up comprises a scattering-type scanning near-field optical microscope (s-SNOM, from Neaspec GmbH), which is based on an atomic force microscope (AFM). The apex of a conventional Si tip acts as the scattering object (O). The antenna and tip are illuminated at an angle of about  $50^\circ$  relative to the surface normal with the focused beam of a  $\text{CO}_2$  laser operating at  $11.1 \mu\text{m}$ . The polarization of the illuminating beam  $E_{\text{inc}}$  is parallel to the long axis of the metal rod (s-polarized light). The field backscattered by the antenna (A) and the tip (O) is collected and detected with a pseudo-heterodyne interferometer (M denotes the reference mirror and BS the beamsplitter). The polarizer P ensures that s-polarized light is detected. To obtain a modulation of the distance between tip and antenna, the tip is oscillating vertically at a frequency  $\Omega \approx 300 \text{ kHz}$  and with an amplitude of  $70 \text{ nm}$ . Demodulation of the detector signal at a higher harmonic  $n\Omega$  yields the amplitude and phase signals  $|E_n|$  and  $\Delta\varphi_n$ .



**Figure 3 | Spatial mapping of surface-enhanced light scattering.** (a) A Si tip (vertically oscillating at frequency  $\Omega$ ) is scanned across a Au rod antenna (A). Both antenna and tip (the latter mimicking the scattering object O) are illuminated with s-polarized light. The s-polarized light backscattered from the tip-antenna configuration is detected interferometrically (not shown). Demodulation of the detector signal at a higher harmonic  $n\Omega$  yields the amplitude  $|E_n|$  and the phase  $\Delta\varphi_n$  at each position of the tip. (b) Topography, amplitude  $|E_n|$  and phase shift  $\Delta\varphi_n$  maps of a Au rod antenna. The scale bar denotes  $1 \mu\text{m}$ . (c) From left to right, topography, amplitude  $|E_n|$  and phase shift  $\Delta\varphi_n$  maps of Au rods. The length of the rods decreases from the top to the bottom and from the left to the right). The illumination wavelength was  $11.1 \mu\text{m}$ . Demodulation was done with  $n = 4$ . The scale bar denotes  $5 \mu\text{m}$ .



**Figure 4 | Verification of antenna-mediated elastic light scattering.** (a) Amplitude  $|E_n|$  and phase shift  $\Delta\phi_n$  maps of Au rods of different lengths. All the maps were recorded at an illumination wavelength of  $11.1\mu\text{m}$ . Demodulation was done with  $n=4$ . The scale bar denotes  $2\mu\text{m}$ . (b) Measured intensity  $I_n \propto |E_n|^2$  (red symbols) and calculated field enhancement  $f$  (black solid line) at the hot spot (marked by a cross in Fig. 3b) as a function of the antenna length  $L$ . Both quantities are normalized to their maximum value. The red solid line shows the function  $f^{4.08}(L)$ . (c) Parametric representation of  $\log[I_n(L)]$  and  $\log[f(L)]$  (red symbols). A linear least-square fitting of the data points (red solid line) yields a slope of 4.08. (d) Measured phase shift  $\Delta\phi_n$  (red symbols) and calculated phase shift  $\Delta\phi_f$  (black solid line) at the hot spot (marked by a cross in Fig. 3b) as a function of antenna length  $L$ . The red solid line shows the function  $1.85\Delta\phi_f(L)$ . (e) Parametric representation of  $\Delta\phi_n(L)$  and  $\Delta\phi_f(L)$  (red symbols). Linear least-square fitting of the data yields the relation  $\Delta\phi_n = 1.85\Delta\phi_f$ .

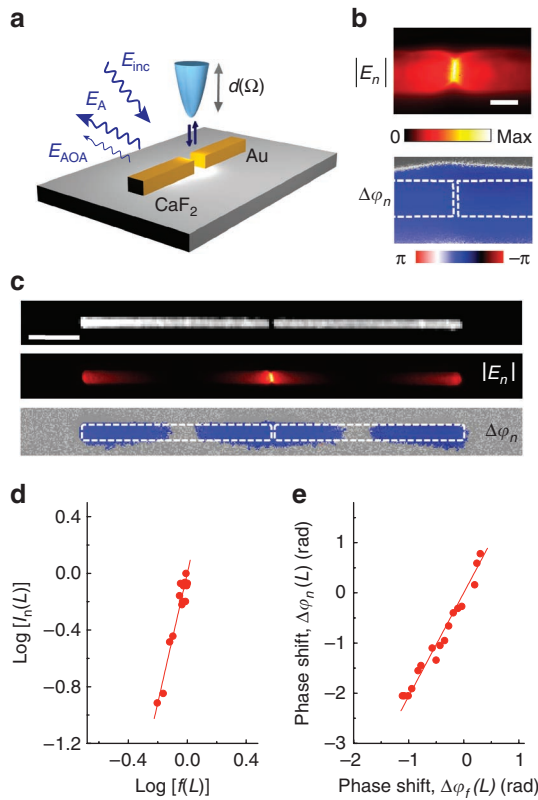
To relate the scattered field  $E_n$  with the local field enhancement  $f$ , we measure amplitude  $|E_n|$  and phase  $\Delta\phi_n$  at the hot spot (marked by a cross in Fig. 3b) as a function of the antenna length  $L$  and compare them to the numerically calculated magnitude  $|f|$  and phase  $\Delta\phi_f$  of the local field enhancement. The calculations of the magnitude and phase at the hot spots were performed with the use of a finite-difference time-domain (FDTD) method (Lumerical FDTD Solutions). The same wavelength and geometrical antenna parameters as in the experiment were used. The dielectric values for Au and the refractive index of the  $\text{CaF}_2$  substrate,  $n_{\text{CaF}_2} \approx 1.26 + 0.005i$ , were taken from Palik<sup>38</sup>.

**Relating scattered intensity and local field enhancement.** Figure 4b displays the measured intensity  $I_n \propto |E_n|^2$  (red symbols) and the calculated field enhancement  $f$  (black solid line) at the hot spots indicated by the cross in Figure 3b, both normalized to their maximum value. We clearly see the resonance behaviour, with the resonance appearing at  $L=3.7\mu\text{m}$  for both  $I_n$  and  $f$ . In Figure 4c we show a parametric representation of  $\log[I_n(L)]$  and  $\log[f(L)]$  (red symbols). We find a linear behaviour, revealing that the scattered intensity  $I_n$  and the field enhancement  $f$  are related by a power law. Linear least-square fitting of the data points yields a slope of 4.08. By plotting  $f^{4.08}(L)$  in Figure 4b (red solid line), we find a good agreement with the measured intensity  $I_n(L)$ . Our results clearly verify that the intensity scattered from a nanoscale object located in a hot spot scales with the fourth power of the local field enhancement,  $I \propto f^4$ . The slight quantitative discrepancy between the experimental and theoretical exponent can be attributed to uncertainties in the antenna fabrication process and dielectric values, which have a particularly strong impact in establishing a large-exponent power law.

Figure 4d displays the measured phase shift  $\Delta\phi_n$  (red symbols) and the calculated phase shift  $\Delta\phi_f$  (black solid line) as a function of the antenna length  $L$ . As expected, both of them increase continuously with the antenna length  $L$  (ref. 37). In Figure 4e we show a parametric representation of  $\Delta\phi_n(L)$  and  $\Delta\phi_f(L)$  (red symbols). Linear least-square fitting of the data yields a slope of 1.85. By plotting  $\Delta\phi_f(L)$  multiplied by the factor 1.85 in Figure 4d (red solid

line), we find an excellent agreement with the measured phase shift  $\Delta\phi_n(L)$ . This factor (yielding the difference between the measured phase shift and the phase shift induced by the antenna) is close to the expected value of 2 (as predicted in equation (6)), thus clearly proving the double role of the antenna, which is both illuminating the object as well as scattering off the object.

Antenna-enhanced light scattering is particularly efficient when the objects of interest are located in nanoscale antenna gaps, where the local field enhancement reaches maximum values<sup>8</sup>. To study the scattering mechanism in this case, we recorded the field scattered from the Si tip in the proximity of infrared gap antennas (Fig. 5a). The amplitude and phase maps of the resonant antenna are shown in Figure 5b and c, clearly visualizing the hot spot in the antenna gap. Owing to the capacitive coupling across the gap<sup>31</sup>, this hot spot exhibits a much stronger field enhancement and field confinement compared with that observed in single rods (Fig. 3b). From a zoom-in image (Fig. 5b), we measure for the hot spot a full width at half maximum of about 50 nm. Following an identical procedure as the one applied in Figure 4, we establish the relation between the field scattered from the tip and the local field enhancement exactly at the gap centre. To this end, we mapped gap antennas with a fixed gap and varying arm length  $L$ , and measured the field  $|E_n|$  and the phase shift  $\Delta\phi_n$  at the gap centre as a function of  $L$ . We also calculated the magnitude  $|f|$  and phase  $\Delta\phi_f$  of the field enhancement at the gap centre. From a parametric representation of  $\log[I_n(L)]$  and  $\log[f(L)]$  (Fig. 5d), we again find that the intensity scattered by the tip scales with nearly the fourth power of the field enhancement. The difference between the exponent of 4.56 determined from our study and the expected value of 4 can be assigned to uncertainties in the antenna fabrication process, yielding variations in the antenna dimensions (for example, length, width and gap size) with respect to the design values used for the near-field calculations. Particularly difficult to reproduce by electron-beam lithography on the insulating  $\text{CaF}_2$  substrate is the gap, which is precisely where the local field enhancement in Figure 5d is evaluated. In Figure 5e we show a parametric plot of  $\Delta\phi_n(L)$  and  $\Delta\phi_f(L)$ , yielding clear evidence that the phase shift of the scattered field  $E_{\text{AOA}}$  is twice the phase shift of the local field at the hot



**Figure 5 | Elastic light scattering in the hot spot of infrared gap antennas.**

(a) A Si tip (vertically oscillating at frequency  $\Omega$ ) is scanned across a Au rod antenna (A). Both antenna and tip (the latter mimicking the scattering object O) are illuminated with s-polarized light. The s-polarized light backscattered from the tip-antenna configuration is detected interferometrically (not shown). Demodulation of the detector signal at  $n\Omega$  yields the amplitude  $|E_n|$  and phase shift  $\Delta\phi_n$  at each position of the tip. (b) Zoom-in amplitude  $|E_n|$  and phase shift  $\Delta\phi_n$  images of the gap region in a resonant gap antenna. A full width at half maximum of the hot spot of about 50 nm is measured. The scale bar denotes 100 nm. (c) Topography, amplitude  $|E_n|$  and phase shift  $\Delta\phi_n$  maps of a resonant gap antenna. The maps were recorded at an excitation wavelength of 11.1  $\mu\text{m}$  and demodulation was done with  $n = 4$ . The scale bar denotes 2  $\mu\text{m}$ . (d) Parametric representation of  $\log[I_n(L)]$  and  $\log[f(L)]$  (red symbols). A linear least-square fitting of the data points (red solid line) yields a slope of 4.56. (e) Parametric representation of  $\Delta\phi_n(L)$  and  $\Delta\phi_f(L)$  (red symbols). Linear least-square fitting of the data yields the relation  $\Delta\phi_n = 1.99\Delta\phi_f$ .

spot ( $\Delta\phi_n(L) = 1.99\Delta\phi_f(L)$ ). These observations confirm the results obtained with single rods (Fig. 4) and thus corroborate the electromagnetic mechanism in antenna-enhanced light scattering.

## Discussion

Our findings might have particular implications in surface-enhanced infrared absorption (SEIRA) spectroscopy, where objects adsorbed on rough metal films or antennas exhibit an enhanced infrared fingerprint spectrum. SEIRA—actually yielding extinction spectra—is typically assigned to an enhanced infrared absorption of the objects. The enhanced infrared scattering from the objects is barely considered. However, the scattering from the object might be a significant contribution to the extinction, as it scales with  $f^4$ , while the infrared absorption scales only with  $f^2$ . Future interpretation of SEIRA thus might need to consider also the scattering process. On the other hand, the strongly enhanced scattering cross-section might be applied for the development of surface-enhanced infrared scattering spectroscopy.

In conclusion, our results provide a rigorous verification of the widely accepted electromagnetic enhancement mechanism in surface- and antenna-enhanced spectroscopy, more than 30 years after it was proposed to explain the electromagnetic contribution in SERS. We also establish that the enhancement mechanism for elastic and inelastic (Raman) scattering is the same, and thus generalize the electromagnetic mechanism of SERS. Furthermore, the verification of the phase shift involved in the elastic scattering process introduces a new paradigm to characterize and manipulate surface-enhanced coherent scattering processes, which might be of fundamental and technological importance in optical information and sensing applications.

## Methods

**Derivation of  $E_{AOA}$ .** In the following we show that the elastic light scattering from an object at the hot spot of an optical antenna,  $E_{AOA}$ , scales with the fourth power of the local field enhancement. We consider a general elastic light scattering process similar to the one shown in Figure 1b, where incoming and scattered radiations occur at frequency  $\omega$ . Both antenna (A) and object (O) are characterized by means of their corresponding polarizabilities  $\alpha_A$  and  $\alpha_O$ , with  $\alpha_A \gg \alpha_O$ . When an incident field  $E_{inc}(\omega)$  impinges on the antenna and object, a multiple scattering process occurs. The resulting total scattered field  $E_T(\omega)$  can be expressed as a coherent sum of the contribution of the different scattering orders as:

$$E_T(\omega) = E_A(\omega) + E_O(\omega) + E_{AO}(\omega) + E_{OA}(\omega) + E_{AOA}(\omega) + \dots \quad (8)$$

From now on, an implicit  $\omega$  dependence will be assumed in all of the expressions. In equation (8) the term

$$E_A = G_A \alpha_A E_{inc} \quad (9)$$

is the field radiated directly by the antenna with  $G_A = G_A(r \rightarrow \infty)$  being the corresponding Green's function evaluated in the far field, for example, at a distant detector. The term

$$E_O = G_O \alpha_O E_{inc} \quad (10)$$

is the field radiated directly by the object, where  $G_O = G_O(r \rightarrow \infty)$  is the Green's function describing the scattering from the object. The term

$$E_{OA} = G_O \alpha_O f E_{inc} \quad (11)$$

is the field radiated directly by the object after being illuminated by the local field  $E_{loc} = f E_{inc}$  produced by the antenna. The term

$$E_{AO} = G_A f \alpha_O E_{inc} \quad (12)$$

is the field scattered from the object via the antenna. The term

$$E_{AOA} = G_A f \alpha_O f E_{inc} \quad (13)$$

describes the field scattered from the object via the antenna, after being illuminated by the local field produced by the antenna. The direct scattering off the object  $E_O$  in equation (8) can be neglected because the polarizability of the object  $\alpha_O$  is, by definition, smaller than the polarizability of the antenna  $\alpha_A$ . Thus,  $E_O$  is negligible when compared with  $E_A$ . We also note that the exact total radiation in equation (8) should include all the successive interaction events in the scattering process. Nevertheless, the small value of  $\alpha_O$  allows for a truncation of  $E_T$  at the first order of  $\alpha_O$ , that is, after the term  $E_{AOA}$ , as shown in equation (8). Moreover, if the field enhancement is large ( $f \gg 1$ ), as it is the case in a hot spot of an antenna, the contributions  $E_{OA}$  and  $E_{AO}$  (which scale linearly with  $f$ ) to  $E_T$  are much smaller than  $E_{AOA}$  (which scales with the square of  $f$ ). The total radiated field  $E_T$  (equation (8)) can be thus reduced to:

$$E_T \approx E_A + E_{AOA} \quad (14)$$

The field  $E_{AOA}$  in equation (13), which is the one studied in this communication, can be evaluated considering the tensorial nature of the polarizability  $\alpha_O$  (diagonal tensor of components  $\alpha_{O,ii}$  with  $i = x, y, z$ ) and the field enhancement  $\mathbf{f}$  (tensor of components  $f_{ij}$  with  $i, j = x, y, z$ ). With an incident field  $E_{inc,x}$  polarized in the  $x$ -direction (defined here to be parallel to the long axis of the antenna, as in our experimental situation) we obtain:

$$E_{AOA,x} = \mathbf{G}_A \begin{pmatrix} \alpha_{O,xx} f_{xx} f_{xx} E_{inc,x} + \alpha_{O,yy} f_{yx} f_{yx} E_{inc,x} + \alpha_{O,zz} f_{zx} f_{zx} E_{inc,x} \\ 0 \\ 0 \end{pmatrix} \quad (15)$$

where  $f_{ij}$  is the field enhancement factor of the  $i$ -component induced by the  $j$ -component of the incident field  $E_{inc,j}$ .

In the case of an isotropic polarizability of the object,  $\alpha_{O,xx} = \alpha_{O,yy} = \alpha_{O,zz}$ , equation (15) yields the well-known scalar power law  $E_{AOA,x} \propto [(f_{xx})^2 + (f_{yy})^2 + (f_{zz})^2] = f^2$  as described by equation (13). In case of an anisotropic object (as the tip in our experiment), the general solution of  $E_{AOA,x}$  (equation (15)) prevails. However, at the extremity of single dipolar antennas and at the gap between two coupled antennas (the two situations considered in this communication), one component of the enhancement dominates over the others.  $f_{xx} \gg f_{yy}, f_{zz}$  in the gap of a coupled antenna and  $f_{zz} \gg f_{xx}, f_{yy}$  on top of the single antenna extremities. In these cases, we can consider  $f \approx f_{xx}$  or  $f \approx f_{zz}$ , respectively, and  $E_{AOA,x}$  can be expressed as

$$E_{AOA,x}(\omega) \approx G_A f_{xx} \alpha_{O,xx} f_{xx} E_{inc,x} \propto f^2 \quad (16)$$

at the antenna gap, or

$$E_{AOA,x}(\omega) \approx G_A f_{zz} \alpha_{O,zz} f_{zz} E_{inc,x} \propto f^2 \quad (17)$$

on the extremity of an isolated antenna. Equations (16) and (17) are identical to the scalar description (equation (13)) of surface-enhanced light scattering.

**Mathematical relationship between  $E_n$  and  $E_{AOA}$ .** In the following we analyse the dependence of the  $n$ -th order demodulated detector signal  $E_n(\omega)$  on the field-enhancement factor.  $E_n(\omega)$  is obtained as the  $n$ -th order coefficient of the Fourier transform:

$$\begin{aligned} E_n(\omega) &\propto \int E_T(\omega) e^{-in\Omega t} dt = \int (E_A(\omega) + E_{AOA}(\omega)) e^{-in\Omega t} dt \\ &= \int E_{AOA}(\omega) e^{-in\Omega t} dt \end{aligned} \quad (18)$$

Substituting  $E_{AOA}(\omega)$  according to equation (13), we obtain:

$$\begin{aligned} E_n(\omega) &\propto \int G_A \alpha_O E_{inc}(\omega) \cdot f(d) \cdot f(d) \cdot e^{-in\Omega t} dt \\ &= G_A \alpha_O E_{inc}(\omega) \int f(d) \cdot f(d) \cdot e^{-in\Omega t} dt \end{aligned} \quad (19)$$

Normalizing the integral in equation (19) by  $f=f_0=f(d_0)$ , we find:

$$E_n(\omega) = G_A \alpha_O E_{inc}(\omega) f_0^2 \int (f(d)/f_0)^2 e^{-in\Omega t} dt \quad (20)$$

By defining the normalized  $n$ -th Fourier coefficient  $\xi_n(d_0)$  as

$$\xi_n(d_0) = \int (f(d)/f_0)^2 e^{-in\Omega t} dt \quad (21)$$

we can express  $E_n(\omega)$  in terms of  $f_0$  and  $\xi_n(d_0)$  as

$$E_n(\omega) = G_A \alpha_O E_{inc}(\omega) f_0^2 \xi_n(d_0) = E_{AOA}(\omega) \xi_n(d_0) \quad (22)$$

In Supplementary Figure S1 we show that for linear dipole antennas  $\xi_n(d_0)$  is independent of the antenna length. Thus, in equation (22),  $\xi_n(d_0)$  can be taken as a constant for every single antenna at a particular distance  $d_0$ , yielding

$$E_n(\omega) \propto E_{AOA}(\omega) \propto f^2 \quad (23)$$

**Antenna fabrication.** We fabricated Au rods with a nominal length  $L$  between 2 and 5  $\mu\text{m}$  and a fixed width of 100 nm on a double-side-polished  $\text{CaF}_2$  (100) substrate by high-resolution electron-beam lithography. A bilayer polymethyl methacrylate (with 495,000 molecular weight at the bottom and 950,000 molecular weight at the top) was used as electron-sensitive polymer. The Au film with a thickness of 40 nm was thermally evaporated in high vacuum on top of a 3-nm thick Ti layer, electron-beam evaporated for adhesion purposes. A lift-off process yields the Au nanorods.

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## Author contributions

P.A.-G. and R.H. designed the experiments. F.H. and P.A.-G. set up the near-field microscope. F.C., F.G., L.A. and L.E.H. contributed to sample preparation. P.A.-G. performed the experiments with the help of J.C. P.A.-G., P.A., J.A., and R.H. analysed and discussed the data. P.A., and J.A. performed the calculations and together with R.H. and A.G.-E. established the theoretical framework. M.S. contributed to the discussion and interpretation of the results. R.H. wrote the manuscript together with J.A., P.A.-G. and P.A.

## Additional information

**Supplementary Information** accompanies this paper at <http://www.nature.com/naturecommunications>

**Competing financial interests:** P.A.-G., P.A., M.S., J.C., F.H., A.G.-E., F.C., F.G., L.A., L.E.H. and J.A. declare no competing financial interests. R.H. is co-founder of Neaspec GmbH, a company producing scattering-type scanning near-field optical microscope systems, such as the one used in this study.

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